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J1129 U.S. PTO  
11/14/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001\_1701A

First Named Inventor : Chikashi INOKUCHI et al.

Title: OPTICAL DISC APPARATUS AND METHOD FOR READING INFORMATION

Express Mail Label No.:

U.S. PATENT & TRADEMARK OFFICE  
09872844

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. [X] Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*

2. [] Small Entity Status is hereby asserted.

3. [X] Specification *[Total Pages: 34]*  
*(preferred arrangement set forth below)*

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. [X] Drawing(s) *(35 USC 113)* *[Total Sheets: 9]*

5. [X] Oath or Declaration *[Total Pages : 3]*

- a.1. [] Newly executed (original or copy)
- a.2. [X] Unexecuted
- b. [] Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
- i. [] **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. [] Application Data Sheet (see 37 CFR 1.76)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No. \_\_\_\_\_

Prior Application Information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By:

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November 14, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Chikashi INOKUCHI et al. :  
Serial No. NEW : Attn: APPLICATION BRANCH  
Filed November 14, 2001 : Attorney Docket No. 2001\_1701A

OPTICAL DISC APPARATUS AND METHOD  
FOR READING INFORMATION

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$740.00 to cover Patent Office fees relating to filing the following attached papers:

New application .....	<u>\$740.00</u>
Assignment for Recordal .....	\$
Additional Claims Fee:	
Excess of Twenty .....	\$
Independent .....	\$
Multiple Dependent Fee .....	\$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Chikashi INOKUCHI et al.

By Michael S. Huppert  
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November 14, 2001

[Check No. 47487]  
2001\_1701A